

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of: Pearson, James P. *et al.*

Serial No.: Not Yet Assigned

Filed: Herewith (continuation application of
08/456,864

For: Autoinducer Molecule

Attorney Docket No.: UIZ-003DVCN

Group Art Unit: 1641

Examiner: Baskar, P.

Assistant Commissioner for Patents
Washington, D.C. 20231

ASSOCIATE POWER OF ATTORNEY

Sir:

The undersigned attorney has the power of attorney in the subject patent. He hereby grants an associate power to:

Peter C. Lauro, Esq.
Registration No. 32,360
Lahive & Cockfield, LLP
28 State Street
Boston, MA 02109

Please continue to forward all written and telephonic communications to Elizabeth A. Hanley, Esq. at the address and telephone number listed below.

Respectfully submitted,


Giulio A. DeConti, Jr., Esq.
Registration No. 31, 503

Attorney for Applicants

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Dated: April 3, 2000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: James P. Pearson, et al.

Attorney Docket No.: UIZ-003

Serial No.: 104,487

Filed: August 9, 1993

Title: AN AUTOINDUCER MOLECULE

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(f) & 1.27(d))—NONPROFIT ORGANIZATION**

I HEREBY DECLARE THAT I AM AN OFFICIAL EMPOWERED TO ACT ON BEHALF OF THE NONPROFIT ORGANIZATION IDENTIFIED BELOW:

NAME OF NONPROFIT ORGANIZATION

UNIVERSITY OF ROCHESTER

ADDRESS OF NONPROFIT ORGANIZATION

ROCHESTER, NEW YORK 14627

ADDRESS OF NONPROFIT ORGANIZATION

UNIVERSITY OR OTHER INSTITUTION OF HIGHER EDUCATION

TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 U.S.C. 501(a) and 501(c)(3))

NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OF THE UNITED STATES OF AMERICA
(NAME OF STATE
(CITATION OF STATUTE

I HEREBY DECLARE THAT THE NONPROFIT ORGANIZATION IDENTIFIED ABOVE QUALIFIES AS A NONPROFIT ORGANIZATION AS DEFINED IN 37 CFR 1.9(E) FOR PURPOSES OF PAYING REDUCED FEES TO THE UNITED STATES PATENT AND TRADEMARK OFFICE REGARDING THE INVENTION
ENTITLED:AN AUTOINDUCER MOLECULE BY INVENTOR(S) JAMES P. PEARSON, ET AL.

DESCRIBED IN:

THE SPECIFICATION FILED HEREWITH.

APPLICATION SERIAL NO. 104,487

FILED: August 9, 1993

PATENT NO. _____, ISSUED _____

I HEREBY DECLARE THAT RIGHTS UNDER CONTRACT OR LAW HAVE BEEN CONVEYED TO AND REMAIN WITH THE NONPROFIT ORGANIZATION
REGARDING THE ABOVE-IDENTIFIED INVENTION.

IF THE RIGHTS HELD BY THE NONPROFIT ORGANIZATION ARE NOT EXCLUSIVE, EACH INDIVIDUAL, CONCERN OR ORGANIZATION HAVING RIGHTS IN
THE INVENTION IS LISTED BELOW* AND NO RIGHTS TO THE INVENTION ARE HELD BY ANY PERSON, OTHER THAN THE INVENTOR WHO WOULD
NOT QUALIFY AS AN INDEPENDENT INVENTOR UNDER 37 CFR 1.9(C) IF THAT PERSON MADE THE INVENTION, OR BY ANY CONCERN WHICH
WOULD NOT QUALIFY AS A SMALL BUSINESS CONCERN UNDER 37 CFR 1.9(D) OR A NONPROFIT ORGANIZATION UNDER 37 CFR 1.9(E).

*NOTE: SEPARATE VERIFIED STATEMENTS ARE REQUIRED FROM EACH NAMED PERSON, CONCERN OR ORGANIZATION HAVING RIGHTS TO THE
INVENTION AVERRING TO THEIR STATUS AS SMALL ENTITIES (37 CFR 1.27)

NAME The University of Iowa Research Foundation

ADDRESS 214 Technology Center, Oakdale Research Campus, Iowa City, Iowa

INDIVIDUAL SMALL BUSINESS CONCERN NONPROFIT ORGANIZATION

NAME Ithaca College

ADDRESS Ithaca, New York 14627

INDIVIDUAL SMALL BUSINESS CONCERN NONPROFIT ORGANIZATION

I ACKNOWLEDGE THE DUTY TO FILE, IN THIS APPLICATION OR PATENT, NOTIFICATION OF ANY CHANGE IN STATUS RESULTING IN LOSS OF
ENTITLEMENT TO SMALL ENTITY STATUS PRIOR TO PAYING, OR AT THE TIME OF PAYING, THE EARLIEST OF THE ISSUE FEE OR ANY
MAINTENANCE FEE DUE AFTER THE DATE ON WHICH STATUS AS A SMALL ENTITY IS NO LONGER APPROPRIATE. (37 CFR 1.28(B))

I HEREBY DECLARE THAT ALL STATEMENTS MADE HEREIN OF MY OWN KNOWLEDGE ARE TRUE AND THAT ALL STATEMENTS MADE ON
INFORMATION AND BELIEF ARE BELIEVED TO BE TRUE; AND FURTHER THAT THESE STATEMENTS WERE MADE WITH THE KNOWLEDGE THAT
WILLFUL FALSE STATEMENTS AND THE LIKE SO MADE ARE PUNISHABLE BY FINE OR IMPRISONMENT, OR BOTH, UNDER SECTION 1001 OF TITLE
18 OF THE UNITED STATES CODE, AND THAT SUCH WILLFUL FALSE STATEMENTS MAY JEOPARDIZE THE VALIDITY OF THE APPLICATION, ANY
PATENT ISSUING THEREON, OR ANY PATENT TO WHICH THIS VERIFIED STATEMENT IS DIRECTED.

NAME OF PERSON SIGNING JANE YOUNGERS

TITLE IN ORGANIZATION OF PERSON SIGNING Director - Office of Research, University of Rochester

ADDRESS OF PERSON SIGNING 518 Hylan Building, Rochester, New York 14627

SIGNATURE

DATE

29 September 1993

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: James P. Pearson, et al.

Attorney Docket No.: UIZ-003

Serial No.: 104,487

Filed: August 9, 1993

Title: AN AUTOINDUCER MOLECULE

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(f) & 1.27(d))—NONPROFIT ORGANIZATION**

I HEREBY DECLARE THAT I AM AN OFFICIAL EMPOWERED TO ACT ON BEHALF OF THE NONPROFIT ORGANIZATION IDENTIFIED BELOW:

NAME OF NONPROFIT ORGANIZATION ITHACA COLLEGE

ADDRESS OF NONPROFIT ORGANIZATION ITHACA, NEW YORK 14850

ADDRESS OF NONPROFIT ORGANIZATION

UNIVERSITY OR OTHER INSTITUTION OF HIGHER EDUCATION
 TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 U.S.C. 501(a) and 501(c)(3))
 NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OF THE UNITED STATES OF AMERICA
(NAME OF STATE)
(CITATION OF STATUTE)

I HEREBY DECLARE THAT THE NONPROFIT ORGANIZATION IDENTIFIED ABOVE QUALIFIES AS A NONPROFIT ORGANIZATION AS DEFINED IN 37 CFR 1.9(e) FOR PURPOSES OF PAYING REDUCED FEES TO THE UNITED STATES PATENT AND TRADEMARK OFFICE REGARDING THE INVENTION ENTITLED: AN AUTOINDUCER MOLECULE BY INVENTOR(S) JAMES P. PEARSON, ET AL.

DESCRIBED IN:

THE SPECIFICATION FILED HEREWITH.
 APPLICATION SERIAL NO. 104,487
 PATENT NO. _____

FILED: August 9, 1993

ISSUED _____

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IF THE RIGHTS HELD BY THE NONPROFIT ORGANIZATION ARE NOT EXCLUSIVE, EACH INDIVIDUAL, CONCERN OR ORGANIZATION HAVING RIGHTS IN THE INVENTION IS LISTED BELOW* AND NO RIGHTS TO THE INVENTION ARE HELD BY ANY PERSON, OTHER THAN THE INVENTOR WHO WOULD NOT QUALIFY AS AN INDEPENDENT INVENTOR UNDER 37 CFR 1.9(c) IF THAT PERSON MADE THE INVENTION, OR BY ANY CONCERN WHICH WOULD NOT QUALIFY AS A SMALL BUSINESS CONCERN UNDER 37 CFR 1.9(d) OR A NONPROFIT ORGANIZATION UNDER 37 CFR 1.9(e).

*NOTE: SEPARATE VERIFIED STATEMENTS ARE REQUIRED FROM EACH NAMED PERSON, CONCERN OR ORGANIZATION HAVING RIGHTS TO THE INVENTION AVERRING TO THEIR STATUS AS SMALL ENTITIES (37 CFR 1.27)

NAME The University of Iowa Research Foundation
ADDRESS 214 Technology Innovation Center, Oakdale Research Campus, Iowa City, Iowa 52319
 INDIVIDUAL SMALL BUSINESS CONCERN NONPROFIT ORGANIZATION

NAME University Of Rochester
ADDRESS Rochester, New York 14627
 INDIVIDUAL SMALL BUSINESS CONCERN NONPROFIT ORGANIZATION

I ACKNOWLEDGE THE DUTY TO FILE, IN THIS APPLICATION OR PATENT, NOTIFICATION OF ANY CHANGE IN STATUS RESULTING IN LOSS OF ENTITLEMENT TO SMALL ENTITY STATUS PRIOR TO PAYING, OR AT THE TIME OF PAYING, THE EARLIEST OF THE ISSUE FEE OR ANY MAINTENANCE FEE DUE AFTER THE DATE ON WHICH STATUS AS A SMALL ENTITY IS NO LONGER APPROPRIATE. (37 CFR 1.28(b))

I HEREBY DECLARE THAT ALL STATEMENTS MADE HEREIN OF MY OWN KNOWLEDGE ARE TRUE AND THAT ALL STATEMENTS MADE ON INFORMATION AND BELIEF ARE BELIEVED TO BE TRUE; AND FURTHER THAT THESE STATEMENTS WERE MADE WITH THE KNOWLEDGE THAT WILLFUL FALSE STATEMENTS AND THE LIKE SO MADE ARE PUNISHABLE BY FINE OR IMPRISONMENT, OR BOTH, UNDER SECTION 1001 OF TITLE 18 OF THE UNITED STATES CODE, AND THAT SUCH WILLFUL FALSE STATEMENTS MAY JEOPARDIZE THE VALIDITY OF THE APPLICATION, ANY PATENT ISSUING THEREON, OR ANY PATENT TO WHICH THIS VERIFIED STATEMENT IS DIRECTED.

NAME OF PERSON SIGNING MARGARET BALL

TITLE IN ORGANIZATION OF PERSON SIGNING Vice President/College Counsel, Ithaca College

ADDRESS OF PERSON SIGNING Job Hall, Ithaca, New York 14850

SIGNATURE August 7, 1993 DATE 10/1/93